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# High-normal $P_aCO_2$ values might be associated with worse outcome in patients with subarachnoid hemorrhage – a retrospective cohort study

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## Abstract

**Background:** While both hypercapnia and hypocapnia are harmful in patients with subarachnoid hemorrhage (SAH), it is unknown whether high-normal  $P_aCO_2$  values are better than low-normal values. We hypothesized that high-normal  $P_aCO_2$  values have more detrimental than beneficial effects on outcome.

**Methods:** Consecutive patients with aneurysmal subarachnoid hemorrhage (aSAH) requiring mechanical ventilation treated in a tertiary care university hospital were retrospectively analyzed regarding the influence of  $P_aCO_2$  on favorable outcome, defined as modified Rankin scale score < 3 at discharge. Primary endpoint was the difference in the proportion of  $P_aCO_2$  values above 40 mmHg in relation to all measured  $P_aCO_2$  values between patients with favorable and unfavorable outcome.

**Results:** 150 patients were included. Median age was 57 years (p25:50, p75:64), median Hunt-Hess score was 4 (p25:3, p75:5).  $P_aCO_2$  values were mainly within normal range (median 39.0, p25:37.5, p75:41.4). Patients with favorable outcome had a lower proportion of high-normal  $P_aCO_2$  values above 40 mmHg compared to patients with unfavorable outcome (0.21 (p25:0.13, p75:0.50) vs. 0.4 (p25:0.29, p75:0.59)) resulting in a lower chance for favorable outcome (OR 0.04, 95% CI 0.00–0.55,  $p = 0.017$ ). In multivariable analysis adjusted for Hunt-Hess score, pneumonia and length of stay, elevated  $P_aCO_2$  remained an independent predictor of outcome (OR 0.05, 95% CI 0.00–0.81,  $p = 0.035$ ).

**Conclusions:** A higher proportion of  $P_aCO_2$  values above 40 mmHg was an independent predictor of outcome in patients with aSAH in our study. The results need to be confirmed in a prospective trial.

**Keywords:** Subarachnoid hemorrhage, Hypercapnia, Carbon dioxide reactivity, Risk factors, Outcome, Partial pressure of carbon dioxide

**Subject codes:** Subarachnoid hemorrhage, hypercapnia, carbon dioxide reactivity, ventilation, outcome, aneurysm.

## Background

Subarachnoid hemorrhage (SAH) has a high mortality rate and patients suffering from SAH show high rates of disability [1–5]. Many patients endure further neurologic deterioration in the intensive care unit (ICU) after initial treatment [4]. Therefore, knowledge of relevant prognostic factors during treatment is essential. Differences in ventilation are one of the factors affecting clinical

outcome. Both hypercapnia and hypocapnia have been shown to be correlated with worse outcome [6–9]. Hypercapnia causes an increase of intracranial pressure (ICP) by vasodilatation [8, 10–12], even when cerebral autoregulation is deranged as in aneurysmal SAH (aSAH) [13–16]. It can lead to secondary brain injury and worse outcome [6, 17]. Furthermore, other effects of hypercapnia as acidosis with negative effects on brain metabolism contribute to the deleterious effects of hypercapnia [12, 18]. On the other hand, hypercapnia causes dilatation of arterial cerebral vessels with

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enhanced cerebral blood flow (CBF) putatively improving cerebral oxygenation. A recent retrospective study on patients with SAH discovered that patients with arterial partial pressure of carbon dioxide ( $P_a\text{CO}_2$ ) values above 48 mmHg had a lower rate of favorable clinical outcome [19]. It therefore remains doubtful whether the positive effects of hypercapnia through increased CBF leading to increased brain oxygenation which might prevent ischemic lesions outweigh the detrimental effects. Prolonged hypocapnia induced by hyperventilation, on the other hand, has been shown to be harmful for patients with SAH as well [6, 12, 19]. An induced reduction of  $P_a\text{CO}_2$  below 35 mmHg does not improve clinical outcome in these patients. CBF decreases to levels that can cause ischemia and the reduction of intracranial pressure is not only temporary but can also cause rebound intracranial hypertension when normocapnia is restored. Moreover, hypocapnia has deleterious effects on lung compliance, airway resistance, myocardial oxygen supply and systemic oxygenation [6]. Therefore, a pressure within the normal range seems to be reasonable. Many neurointensivists prefer a low-normal  $P_a\text{CO}_2$  of 35–40 mmHg based on theoretical assumptions and clinical practice [20]. There is not enough evidence to answer the question whether high-normal (40–45 mmHg) or low-normal  $P_a\text{CO}_2$  values (30–35 mmHg) are beneficial regarding clinical outcome. Currently, there are no guideline recommendations regarding optimal  $P_a\text{CO}_2$  values within the normal range in intubated patients with SAH [21, 22]. An experimental study showed that even small changes in  $P_a\text{CO}_2$  can cause changes in the microcirculation. An increase of the  $P_a\text{CO}_2$  to a median of 45 mmHg led to a dilatation of capillaries [23]. Consequently, it is plausible that high-normal  $P_a\text{CO}_2$  values may have a different effect on outcome than low-normal values. Another recent study focused on end-tidal  $\text{CO}_2$  values during the coiling or clipping procedure and found no association with clinical outcome [24]. The results of this study imply that  $P_a\text{CO}_2$  values might have to be studied for a longer period of time and not only for the time of intervention / surgery.

We hypothesized that detrimental effects of high-normal  $P_a\text{CO}_2$  values ( $P_a\text{CO}_2 > 40$  mmHg) outweigh the benefits on clinical outcome in patients with SAH. Therefore, our aim was to study the association between the proportion of  $P_a\text{CO}_2$  values  $> 40$  mmHg during the entire time of mechanical ventilation and clinical outcome.

## Methods

### Patient selection

Consecutive patients suffering from aSAH who were treated in the neurological intensive care unit in the Department of Neurology of a tertiary care university

hospital from 12/2006 to 01/2018 were retrospectively analyzed. All information was retrieved from our hospital database. Patients were included if they suffered from aSAH and had to be mechanically ventilated and sedated. We excluded patients if a palliative care approach was begun immediately after the initial computed tomography (CT), because we assumed that  $P_a\text{CO}_2$  values and frequency of arterial blood gas sampling would be different in these patients. Patients' pre-treatment conditions including risk factors, severity of the aSAH, relevant medication, age, sex, familial subarachnoid hemorrhage, alcohol abuse, arterial hypertension, use of acetylsalicylic acid (ASA), anticoagulation, Hunt-Hess score, modified Rankin scale score (mRS) before admission, aneurysm characteristics and aneurysm treatment were recorded. All patients received nimodipine for vasospasm and delayed cerebral ischemia (DCI) prophylaxis.

### Outcome parameters

The primary endpoint analysis was performed comparing the proportion of  $P_a\text{CO}_2$  values above 40 mmHg in relation to all  $P_a\text{CO}_2$  values between patients with favorable and unfavorable outcome. The value of 40 mmHg  $P_a\text{CO}_2$  in blood gas analysis was chosen being midway between the thresholds of the normal range (35–45 mmHg). Favorable outcome was defined as a modified Rankin Scale score at discharge of 0–2, implying that the patient is independent in the activities of daily living. If a patient had a mRS before admission of 3 and was discharged with a mRS of 3, this was also considered to be a favorable outcome (back to baseline). The mRS at discharge was assessed by the treating physicians who were blinded to this analysis but not to clinical data of the patient. All variables in the database were predefined before the analysis.

### Other parameters

Mechanical ventilation in all patients was started in pressure-controlled mode. Parameters were adjusted to guarantee lung-protective ventilation and modified according to the attending neurointensivist. Arterial blood gas analysis was performed regularly in clinical routine, roughly every two hours. 2 ml of blood were drawn into a tube (Sarstedt, Nümbrecht, Germany) and injected into a blood gas system (RAPIDPoint 500°, Siemens Healthineers, Erlangen, Germany). All blood gas analysis values of the patient obtained during mechanical ventilation were included in the analysis. Vasospasms were defined as a flow rate of  $> 200$  cm/s in transcranial ultrasound, performed in routine clinical workup with a 2 MHz pulse-wave probe using the SONARA system (medilab®, Estenfeld, Germany). The frequencies used in the study were collected by probing the middle cerebral artery at

50–55 mm depth or the anterior cerebral artery at 70–75 mm depth. Ultrasound was performed by a neurologist or a technician with extensive experience. A re-bleeding had to be diagnosed using CT by an experienced neuroradiologist. CT-imaging was performed using a 64-row multislice CT (Somatom Definition AS®, Siemens Healthineers, Erlangen, Germany) at 120 kilovolt in X-care technique (automatically adjusting the tube current to reduce radiation dose). Delayed cerebral ischemia was scored positive if a new cerebral infarction was seen on CT after the initial treatment. Symptoms were not taken into account for the definition of DCI as most of the patients were ventilated and the results might have been distorted with more DCI occurring in patients who can report symptoms. Enlargement of the ventricles on CT, described by an experienced neuroradiologist, was recorded as hydrocephalus. Fever was defined as temperature  $>38^{\circ}\text{C}$ . A standard operating procedure was used lowering temperatures  $>37.2^{\circ}\text{C}$ , potentially lowering the number of patients with fever. ICP was measured using pressure domes and Infinity® monitors from Dräger, Lübeck, Germany. The Horowitz index was calculated as the ratio of arterial partial pressure of oxygen ( $P_{\text{a}}\text{O}_2$ ) and the fraction of oxygen used during ventilation in the inhaled air ( $\text{FiO}_2$ ).

### Statistical analysis

Univariable analysis was performed with binary logistic regression and  $\text{Chi}^2$ -test depending on the distribution. When cell frequency for categorical variables was  $<5$ , the Fisher exact test was used. Multivariable analysis was performed using binary logistic regression with favorable outcome being the dependent variable. The predictive

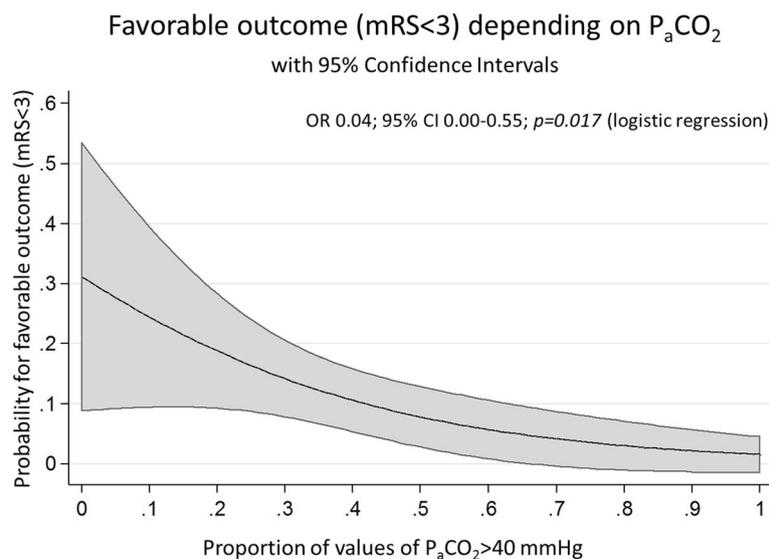
power and discriminating capability of the regression model was tested with the area under the Receiver Operating Characteristic curve (AUROC) and Hosmer-Lemeshow test. Due to the small sample size, we only included the variable of interest (proportion of  $P_{\text{a}}\text{CO}_2$  values  $>40$  mmHg), two main confounding factors (pneumonia and length of stay) and the main predictor of outcome (Hunt-Hess score). Reported  $p$ -values are two-sided and the alpha-level was defined as 0.05. Analyses were performed with STATA/IC 13.0 (College Station, Texas, US).

The graph of Fig. 1 was prepared as follows: Firstly, logistic regression was calculated in STATA with good outcome as dependent variable and proportion of  $P_{\text{a}}\text{CO}_2$  values  $>40$  mmHg as independent variable. Secondly, predictions of odds ratios for good outcome as well as 95% confidence intervals were calculated for every proportion of  $P_{\text{a}}\text{CO}_2$  values  $>40$  mmHg between 0 and 1 in 0.01 steps with the “margin” command in STATA. Calculated predictions with confidence intervals were represented graphically by the “marginsplot” command in STATA.

## Results

### Patient characteristics

Of 172 patients with SAH, 22 patients had to be excluded because they did not have aneurysmal SAH. Accordingly, 150 patients were included in the analysis. Median patients' age was 57 years (p25: 50, p75: 64). 107 (71%) were female. The mRS before admission was 0 in 117 (78%) patients, 1 in 11 (7%) patients, 2 in 5 (3%) patients and 3 in one (1%) patient (median 0, p25: 0, p75: 0). The Hunt-Hess score on admission was 1 in 14 (9%),



**Fig. 1** Favorable outcome depending on proportion of values of  $P_{\text{a}}\text{CO}_2 > 40$  mmHg

2 in 15 (10%), 3 in 44 (29%), 4 in 26 (17%) and 5 in 51 (34%) patients (median 4, p25: 3, p75: 5). 87 (58%) patients suffered from hypertension. Alcohol addiction was recorded in 12 (8%) patients. 5 (3%) patients had first-degree relatives with aSAH. 16 (11%) patients were treated with aspirin and 6 (4%) received oral anticoagulation.

### Aneurysm characteristics

Median aneurysm size was 6 mm (p25: 4, p75: 8.5). Aneurysms causing the SAH were predominantly located in the anterior communicating artery (50, 33%) followed by the middle cerebral artery (29, 19%). More details are presented in Table 1.

Most aneurysms were treated with coiling (55%) followed by clipping (34%). In 11 patients (7%) no treatment for the aneurysm was performed, because of massive rebleeding after placement of external ventricular drains (EVD) or unsuccessful coiling / clipping. Of the 6 patients who were anticoagulated 3 received prothrombin complex concentrate and /or vitamin K. Details can be found in Table 2.

### Complications and monitoring parameters

Regarding complications during hospitalization, 92 (62%) of the patients suffered from vasospasms, 122 (81%) from hydrocephalus, in 141 (94%) patients received a ventricular or lumbar drain, in 36 patients (24%) rebleeding occurred, 74 (49%) got diagnosed with DCI and 88 (59%) suffered from pneumonia.

All patients were ventilated and sedated (mostly propofol/remifentanyl or midazolam/sufentanyl). Routine neuromuscular block was only used for intubation. Ventilation was mainly performed using pressure control and protective tidal volumes (7.2 ml / kg body weight

**Table 1** Aneurysm Characteristics; *n* = 150

	median (p25; p75)
Aneurysm size (mm)	6 (4; 8.5)
Aneurysm location	n (%)
Anterior communicating artery	50 (33)
Middle cerebral artery	29 (19)
Posterior communicating artery	18 (12)
Basilar artery	17 (11)
Posterior inferior cerebellar artery	10 (7)
Internal carotid artery	10 (7)
Anterior cerebral artery	6 (4)
Vertebral artery	4 (3)
Posterior cerebral artery	3 (2)
Superior cerebellar artery	2 (1)
Anterior inferior cerebellar artery	1 (1)

**Table 2** Aneurysm/SAH treatment; *n* = 150

	n (%)
Coiling	83 (55)
Clipping	51 (34)
Coiling and clipping	4 (3)
Flow diverter	1 (1)
No intervention	11 (7)
Prothrombin complex concentrate	3 (2)
Vitamin K	1 (1)

[p25: 6.2, p75: 8.3]). The majority of patients had  $P_aCO_2$  values within the normal range of 35–45 mmHg (median 39 mmHg, p25: 37.45, p75: 41.4). Only 5 (3%) patients were above and 9 (6%) patients were below the normal range. Median partial pressure of arterial oxygen ( $P_aO_2$ ) was 99.45 mmHg (p25: 94.5, p75: 108.0). Median fraction of inspired oxygen ( $FiO_2$ ) was 35 (p25: 30, p75: 40). Median ventilation time was 13.4 days (p25: 2.9, p75: 21.2) and median length of stay was 19 days (p25: 12, p75: 26).

Intracranial pressure (ICP) was not different in patients with a median  $P_aCO_2$  of > 40 mmHg compared to patients with a  $P_aCO_2$  of  $\leq$ 40 mmHg (8 [p25: 4, p75: 10] vs. 7 [p25: 5, p75: 11] mm Hg, respectively,  $p = 0.228$ ). Moreover, pH was also not significantly different between these two groups (7.43 [p25: 7.40, p75: 7.44] vs. 7.43 [p25: 7.40, p75: 7.45]  $p = 0.248$ ). However, a difference was found in base excess (2.35 [p25: 1.4, p75: 3.4] vs. 0.00 [p25: -2.05, p75: 1.8]  $p < 0.001$ ). Delayed cerebral ischemia (DCI) was not significantly associated with the proportion of  $P_aCO_2$  values > 40 mmHg (OR 2.70, 95% CI: 0.58–12.49,  $p = 0.204$ ). Furthermore, there was no difference in  $P_aO_2$  and  $P_aCO_2$  values between patients with and without DCI (Additional file 1: Table S1). Additional parameters and differences between patients with favorable and unfavorable outcome can be found in Table 3.

### Outcome

The mRS at discharge was 0 in 1 patient (1%), 1 in 6 (4%), 2 in 9 (6%), 3 in 5 (3%), 4 in 14 (9%), 5 in 65 (43%) and 6 in 50 (33%) patients. 17 patients (11%) had favorable outcome (16 having a mRS < 3 and one patient with back to baseline mRS of 3). In univariable logistic regression the proportion of  $P_aCO_2$  values > 40 mmHg was significantly associated with outcome. A higher proportion of values above 40 mmHg lead to a reduced chance for favorable outcome (OR 0.04; 95% CI 0.00–0.55,  $p = 0.017$ ; Fig. 1). Further parameters significantly associated with outcome in univariable analysis were: Hunt-Hess score on admission (OR 0.60; 95% CI 0.40–0.88,  $p = 0.010$ ), pneumonia (OR 0.34; 95% CI 0.12–0.97,  $p = 0.044$ ), delayed cerebral ischemia (OR 0.28; 95% CI

**Table 3** Analysis of factors regarding favorable outcome (mRS < 3) using univariable regression or Fisher's exact test; n = 150

Baseline characteristics	favorable outcome (n = 17) median or n (p25; p75 or %)	unfavorable outcome (n = 133) median or n (p25; p75 or %)	OR (95% CI)	p
Age (median, y)	58 (50; 63)	57 (50; 64)	1.00 (0.96–1.05)	0.884
Female sex (n)	13 (76%)	94 (71%)	1.35 (0.41–4.39)	0.620
First degree relatives with SAH (n)	0	5 (4%)	1.000 <sup>‡</sup>	1.000 <sup>‡</sup>
mRS before admission (median)	0 (0; 0)	0 (0; 0)	1.08 (0.40–2.87)	0.883
Addiction to alcohol (n)	3 (18%)	9 (7%)	2.95 (0.71–12.20)	0.135
Arterial hypertension (n)	10 (59%)	77 (58%)	1.04 (0.37–2.90)	0.942
Aspirin (n)	1 (6%)	15 (11%)	0.49 (0.06–4.00)	0.506
Oral anticoagulation (n)	0	6 (5%)	1.000 <sup>‡</sup>	1.000 <sup>‡</sup>
Aneurysm size (median, mm)	5 (4; 7)	6 (4; 9)	0.87 (0.73–1.04)	0.132
Hunt-Hess score on admission (median)	3 (2; 3)	4 (3; 5)	0.60 (0.40–0.88)	0.010*
Aneurysm location				
Anterior communicating artery	7 (41%)	43 (32%)	2.60 (0.30–22.87)	0.388
Middle cerebral artery	2 (12%)	27 (20%)	1.19 (0.10–14.14)	0.893
Posterior communicating artery	3 (18%)	15 (11%)	3.20 (0.30–34.24)	0.336
Basilar artery	1 (6%)	16 (%)	base	
Posterior inferior cerebellar artery	0	10 (8%)	1.78 (0.10–31.98)	0.696
Internal carotid artery	1 (6%)	9 (7%)		
Anterior cerebral artery	0	6 (5%)		
Vertebral artery	1 (6%)	3 (2%)	5.33 (0.26–110.80)	0.279
Posterior cerebral artery	0	3 (2%)		
Superior cerebellar artery	1 (6%)	1 (1%)	16.00 (0.52–494.00)	0.113
Anterior inferior cerebellar artery	1 (6%)	0		
Treatment modality				
Coiling	12 (71%)	71 (53%)	1.55 (0.51–4.71)	0.435
Clipping	5 (29%)	46 (35%)	base	
Coiling and clipping	0	4 (3%)		
Flow diverter	0	1 (1%)		
No intervention	0	11 (8%)		
Complications				
Hydrocephalus (n)	14 (82%)	108 (81%)	1.08 (0.29–4.05)	0.909
Pneumonia (n)	6 (35%)	82 (62%)	0.34 (0.12–0.97)	0.044*
Fever (h, median)	15 (3; 25)	8 (1; 45)	1.00 (0.99–1.01)	0.506

**Table 3** Analysis of factors regarding favorable outcome (mRS < 3) using univariable regression or Fisher's exact test; n = 150 (Continued)

	favorable outcome (n = 17) median or n (p25; p75 or %)	unfavorable outcome (n = 133) median or n (p25; p75 or %)	OR (95% CI)	p
Vasospasm (n)	11 (65%)	82 (62%)	1.14 (0.40–3.27)	0.807
Rebleeding (n)	1 (6%)	35 (26%)	0.18 (0.02–1.37)	0.097
Seizure (n)	2 (12%)	41 (31%)	0.30 (0.07–1.37)	0.120
Delayed cerebral ischemia (n)	4 (24%)	70 (53%)	0.28 (0.09–0.89)	0.032*
Intraparenchymal hemorrhage (n)	2 (12%)	47 (35%)	0.24 (0.05–1.11)	0.068
Need for ventricular/lumbar drainage (n)	15 (88%)	126 (95%)	0.42 (0.08–2.19)	0.301
Length of stay (d, median)	21 (16; 30)	19 (11; 25)	1.01 (0.99–1.04)	0.285
<i>ventilation parameters</i>				
Horowitz index (P <sub>a</sub> O <sub>2</sub> /FIO <sub>2</sub> , median)	360 (297; 395)	277 (225; 329)	1.01 (1.00–1.02)	0.005*
Proportion of values of P <sub>a</sub> CO <sub>2</sub> > 40 mmHg	0.21 (0.13; 0.5)	0.4 (0.29; 0.59)	0.04 (0.00–0.55)	0.017*
Driving pressure (mbar, median)	13 (12; 14)	13 (12; 15)	0.90 (0.73–1.12)	0.347
Tidal volume (ml, median)	550 (499; 592)	520 (473; 579)	1.00 (1.00–1.01)	0.357
pH (median)	7.42 (7.39; 7.44)	7.43 (7.40; 7.45)		0.223 <sup>§</sup>
Ventilation time (h, median)	42 (21; 84)	346 (171; 538)	0.99 (0.99–1.00)	0.001*
FIO <sub>2</sub> (median)	0.30 (0.30–0.35)	0.35 (0.30–0.40)	0.90 (0.82–0.99)	0.034*
Intracranial pressure (mm Hg, median)	5 (3; 7)	8 (5; 11)	0.84 (0.73–0.96)	0.013*

OR odds ratio and 95% CI; all p-values derived from logistic regression except <sup>†</sup>Fisher's exact test; <sup>\*</sup>significant; ASA Acetylsalicylic acid, <sup>§</sup>Mann-Whitney-U test; <sup>‡</sup>univariable logistic regression did not converge

0.09–0.89,  $p = 0.032$ ), ventilation time (OR 0.99; 95% CI 0.99–1.00,  $p = 0.001$ ), Horowitz index (OR 1.01; 95% CI 1.00–1.01,  $p = 0.007$ ),  $\text{FiO}_2$  (OR 0.90, 95% CI 0.82–0.99,  $p = 0.034$ ) and ICP (OR 0.84; 95% CI 0.73–0.96,  $p = 0.013$ ). Location of aneurysm and treatment modality were not significantly associated with outcome. For more details see Table 3.

### Multivariable analysis

In multivariable analysis we adjusted for the main predictors of outcome and major sources of bias for the primary endpoint proportion of  $\text{P}_a\text{CO}_2 > 40$  mmHg (Hunt-Hess score on admission, length of hospital stay and occurrence of pneumonia). A higher proportion of  $\text{P}_a\text{CO}_2$  values  $> 40$  mmHg remained an independent negative predictor for favorable outcome (OR 0.05; 95% CI 0.00–0.81,  $p = 0.035$ ). The Hunt-Hess score on admission and pneumonia were also independent negative predictors for favorable outcome (Table 4). An AUROC of 0.79 and the Hosmer-Lemeshow test revealed a strong predictive capacity of the logistic regression model ( $p = 0.684$ ).

### Sensitivity analysis

As ventilation time was also significantly associated with outcome in univariable analysis, we performed another multivariable analysis using logistic regression with favorable outcome as dependent variable. Due to the collinearity of length of stay and ventilation time we omitted length of stay in this analysis. Furthermore, the proportion of  $\text{P}_a\text{CO}_2$  values already includes a correction for the time of ventilation so that we used the absolute number of  $\text{P}_a\text{CO}_2$  values  $> 40$  mmHg as independent variable instead. The absolute number of  $\text{P}_a\text{CO}_2$  values  $> 40$  mmHg was again an independent negative predictor for favorable outcome (OR (per increase of 10 values) 0.53, 95% CI 0.29–0.99,  $p = 0.048$ ), adjusted for initial Hunt-Hess score, pneumonia and time of ventilation.

### Discussion

In our study population, a higher proportion of high-normal  $\text{P}_a\text{CO}_2$  values (40–45 mmHg) was significantly associated with worse outcome. In multivariable analysis,  $\text{P}_a\text{CO}_2$  remained an independent negative predictor for favorable outcome after adjustment for Hunt-Hess score,

length of hospital stay and the occurrence of pneumonia. In our sensitivity analysis, the absolute number of  $\text{P}_a\text{CO}_2$  values  $> 40$  mmHg were again an independent negative predictor of favorable outcome, adjusted for initial Hunt-Hess score, pneumonia and time of ventilation.

So far, there has been substantial research on hypocapnia and hypercapnia in patients with SAH. Some scientists argue that higher  $\text{P}_a\text{CO}_2$  values might increase CBF and decrease the likelihood for cerebral ischemia [25, 26], which would contradict our results. A recent publication showed a benefit of controlled hypercapnia comparing patients with  $\text{P}_a\text{CO}_2$  values of 30 to 40, 50 and 60 mmHg lasting one hour between day 4 and 14 after SAH with elevation of cerebral blood flow and brain tissue oxygen saturation without relevant increase of ICP [25]. However, all patients also had a ventricular drain so that increased ICP might have been prevented by an increased drainage. Additionally, the brain tissue oxygen saturation was measured transcutaneously. This measurement method is imprecise in measuring local effects. One study from our hospital showed that it did not record the effect of thrombectomy in patients suffering from acute ischemic stroke due to large vessel occlusion [27]. Most importantly, clinical outcome was not an outcome of the study and hypercapnia in our study persisted over longer periods of time and is therefore not comparable to brief episodes of hypercapnia with  $\text{P}_a\text{CO}_2$  values returning to baseline within one hour. The detrimental effects of hypercapnia have been demonstrated by a recent study that examined the outcome of patients with aneurysmal SAH and focused on the hyperventilated and hypoventilated patients [19]. 158 patients were retrospectively analyzed. The authors showed that  $\text{P}_a\text{CO}_2$  values higher than 48.3 mmHg and lower than 30.2 mmHg were associated with unfavorable outcome at discharge defined as mRS score of 3–6.

The detrimental effects of elevated  $\text{P}_a\text{CO}_2$  could be explained by a reversed Robin-Hood-phenomenon which has been described in cerebral ischemia [28]. While vessels with vasospasm do not dilate, unaffected vessels dilate leading to shunting of blood away from already oligemic or ischemic areas, worsening the effect of vasospasms. In an experimental study, hypercapnia led to dilatation of small vessels in sham-operated animals. In animals with experimental SAH, however, higher  $\text{P}_a\text{CO}_2$  levels had no effect on vessel

**Table 4** Multivariable analysis of factors regarding favorable outcome (95% CI;  $n = 150$ )

	OR (95% confidence interval)	$p$
Proportion of values of $\text{P}_a\text{CO}_2 > 40$ mmHg	0.05 (0.00–0.81)	0.035*
Hunt-Hess score on admission	0.56 (0.37–0.86)	0.008*
Length of hospital stay (d)	1.02 (0.99–1.06)	0.204
Pneumonia	0.26 (0.08–0.88)	0.030*

OR odds ratio; all  $p$ -values logistic regression; \*significant

diameter [29]. In our study we could not show a significant association of the proportion of  $P_aCO_2$  values  $> 40$  mmHg with DCI. Moreover, the median  $P_aO_2$  and  $P_aCO_2$  values were not different in patients with and without DCI. Current studies show that the pathogenesis of DCI is related to cortical spreading depolarizations and microcirculatory dysfunction [30]. These mechanisms are not closely linked to systemic  $P_aO_2$  and  $P_aCO_2$  which might explain our results. Keeping in mind that our  $P_aCO_2$  values were mainly in the normal range, a different study showed that the maximum  $P_aCO_2$  values were significantly different comparing patients with and without DCI [19]. We do not have data on microcirculation in our study, consequently the occurrence of a reversed Robin-Hood-phenomenon in SAH patients currently remains a hypothesis, which needs to be confirmed in future trials.

Higher ICP and lower cerebral perfusion pressure are another possible explanation for the worse outcome in patients with high-normal  $P_aCO_2$  values. In our study, ICP values were not elevated in patients with higher  $P_aCO_2$ . Consequently, we do not believe that the detrimental effects of high-normal  $P_aCO_2$  are mediated by ICP in our patient cohort, keeping in mind that ICP increases might have been buffered by additional drainage over a ventricular drain. Nevertheless, as reported before, patients with unfavorable outcome had higher ICP values compared to patients with favorable outcome in our study.

Detrimental effects through elevated  $P_aCO_2$  levels might also have been mitigated by acidotic metabolism [18, 31, 32]. However, pH values were not significantly different in our study comparing patients with a median  $P_aCO_2 > 40$  mmHg compared to  $\leq 40$  mmHg. While this shows that acidosis was not the mechanism causing the higher rate of unfavorable outcome it also leads to the question whether patients might have been adapted to higher  $P_aCO_2$  values. We believe that there are two reasons why the pH values did not differ between patients with a  $P_aCO_2 > 40$  mmHg compared to  $\leq 40$  mmHg. Firstly, our  $P_aCO_2$  values were mainly within the normal range and therefore unlikely to change the pH considerably. Secondly, the base excess was significantly different between these groups, pointing out the fact that the mild changes in  $P_aCO_2$  might have been buffered metabolically.

Regarding patients with aSAH who were mechanically ventilated, our cohort is representative compared to previously published cohorts. As shown in other studies [3, 33–35], known risk factors for outcome as higher values on the Hunt-Hess scale, longer ventilation time, occurrence of pneumonia and delayed cerebral ischemia were significant predictors of unfavorable outcome. Diameter of ruptured aneurysms in our study was comparable to other published data [3].

The most important limitation of our study is the single-center retrospective design, which restricts extrapolation of

our results to other populations. The retrospective design and the generalized approach do not allow attribution of clear causality of our findings and the results are prone to selection bias. The results therefore are hypothesis-generating only. A selection bias has been introduced by including ventilated patients only. The rate of patients with a mRS scale score of 5 and 6 is higher compared to other studies [19, 36]. Only 11.3% of the patients had a favorable outcome while other publications could demonstrate a good clinical outcome with  $mRS < 3$  in 59.5% [3]. This can be explained by the distribution of the Hunt-Hess score and the length of stay in our study. The percentage of patients with a Hunt-Hess score of 5 was 34% in our study vs. 10 and 11% in other published studies [19, 36]. The occurrence of DCI also seems higher in our population. However, it is expected that 74% of patients with a Hunt-Hess score  $\geq 3$  have DCI [37]. In our population this would lead to at least 89 expected cases (60%). Keeping in mind that these expected cases would include patients with unexpected neurological deterioration and not merely infarcts on CT, the rate of DCI is comparable to previously published studies. Although this explains the differences in outcome and in the occurrence of DCI it also limits the generalizability of our results. On the other hand, our study contains a high percentage of severely affected patients who are almost always ventilated and might benefit most from optimal  $P_aCO_2$  levels. Even though we present a fairly large number of patients, some subgroups are small, limiting statistical power. In addition, regression analysis could only be conducted with a limited number of independent variables. Hence, not all imbalances shown in the univariate analysis could be adjusted for. Even the presented analysis is prone to model overfitting as we included 4 independent variables and the analysis must therefore be interpreted accordingly. Even though most of the  $P_aCO_2$  values were within the normal range, some were higher than 45 mmHg or lower than 35 mmHg. We do not expect any relevant disturbances as it concerned only a minority of patients (9%). The degree of vasospasm was not recorded in our study which might have provided more information on the effect of vasospasm on clinical outcome. Another limitation is the irregular sampling of  $P_aCO_2$  values during clinical routine. With a sampling frequency of roughly every two hours, it is possible that periods with increased or decreased  $P_aCO_2$  values were missed. Using a dichotomous endpoint might have led to oversimplification. Even though scoring of the mRS was done blinded to this analysis, the physicians were not blinded to the clinical course.

## Conclusions

A higher proportion of  $P_aCO_2$  values within the high-normal range (40–45 mmHg) was an independent negative predictor of favorable outcome in patients with aSAH who were mechanically ventilated in our study. This is an

important novel finding as currently the whole normal range of  $P_aCO_2$  values (35–45 mmHg) is considered to be beneficial. However, the results are hypothesis-generating only and need to be confirmed in a prospective trial to study the effect of  $P_aCO_2$  in patients with SAH. If the results are reproduced, these findings will have the potential to change management of all ventilated aSAH patients. Future trials should also focus on effects of  $P_aCO_2$  values on the microcirculation of the brain.

## Supplementary information

**Supplementary information** accompanies this paper at <https://doi.org/10.1186/s12883-020-1603-0>.

**Additional file 1: Table S1.** Comparison of  $P_aCO_2$  and  $P_aO_2$  by delayed cerebral ischemia (DCI;  $n = 150$ ).

## Abbreviations

ASA: Acetylsalicylic acid; aSAH: Aneurysmal subarachnoid hemorrhage; AUROC: Area under the Receiver Operating Characteristic curve; CBF: Cerebral blood flow; CI: Confidence interval; CT: Computed tomography; DCI: Delayed cerebral ischemia; EVD: External ventricular drain;  $F_iO_2$ : Fraction of inspired oxygen; ICP: Intracranial pressure; ICU: Intensive care unit; mRS: modified Rankin Scale; OR: Odds ratio;  $P_aCO_2$ : Arterial partial pressure of carbon dioxide;  $P_aO_2$ : Arterial partial pressure of oxygen

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## Authors' contributions

SM Conception and design of the study, monitoring data collection, cleaning and statistical analysis of data, interpretation of data, manuscript writing, submitting manuscript, final approval of the version to be published. TR Conception and design of the study, monitoring data collection, cleaning and statistical analysis of data, interpretation of data, manuscript writing, submitting manuscript, final approval of the version to be published. SS Interpretation of data, manuscript writing, final approval of the version to be published. OB Data collection, cleaning of data, interpretation of data, manuscript writing, final approval of the version to be published.

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## Availability of data and materials

The datasets generated and analyzed during the current study are available from the corresponding author on reasonable request.

## Ethics approval and consent to participate

The study was approved by the ethics committee of the University of Heidelberg, Germany (statement S-265/2018). The requirement for informed consent was waived due to the retrospective character of the analysis.

## Consent for publication

Not applicable.

## Competing interests

The authors' declare that they have no competing interests.

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